PTO-1390 (Rev. 02-2005)

Approved for use through 3/31/2007. OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number ATTORNEY'S DOCKET NUMBER TYR-P0010 TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) 87 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. PCT/GB2005/000093 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 11 January 2005 30 January 2004 TITLE OF INVENTION OPTICAL DEVICE APPLICANT(S) FOR DO/EO/US Joris Franckx et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 3. □  $\boxtimes$ The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2)) is attached hereto (required only if not communicated by the International Bureau). a. b. has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. An English language translation of the International Application as filed (35 U.S.C. is attached hereto. a. b. has been previously submitted under 35 U.S.C. 154(d)(4). 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3)) a. are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. C. have not been made; however, the time limit for making such amendments has NOT expired. d. have not been made and will not be made. 8. 🔲 An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. 🛛 An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. 🗆 An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). Items 11 to 20 below concern document(s) or information included: 11. 🔯 An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🖾 A preliminary amendment. 14. 🛛 An application Data Sheet under 37 CFR 1.76. 15. 🔲 A substitute specification. 16. 🖾 A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. 18. A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. 🔲 A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). 20. Other items or information:

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Box 1450, Alexandria, VA 22313-1450.

Express Mail Receipt No. EV736441202US

PTO-1390 (Rev. 02-2005)

PTO-1390 (Rev. 02-2005)

PTO-1390 (Rev. 02-2005)

Paten and Independent of the Pageryork Reduction Act of 1995, papersons are required to respond to a collection of information unless it displays a valid OMB control number.

U.S. APPLICATION NO. it in own see 37 CFR INTERNATIONAL APPLICATION NO.							I NO.	ATTORNEY'S DOCKET NUMBER		
<b>4</b>	PCT/GB2005/000093							TYR-P0010		
The following fees are submitted:								CALCULATIONS	PTO USE ONLY	
21. 🛛 Basic national fee							\$300	\$ \$300.00		
22. ⊠ Examination fee  If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4). \$100  All other situations. \$200								\$ \$200.00		
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority								\$ \$400.00		
TOTAL OF 21, 22 and 23 =								\$ \$900.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.										
Total Sheets	otal Sheets Extra Sheets		Number of each additional 50 or fraction thereof (round up to a whole			RATE				
- 100 =			0			x \$250.00		\$ \$0.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).								\$		
CLAIMS NUMBER		FILED NUMBER EXTRA			RATE					
Total claims		17	- 20 =	0	х	\$	\$50.00	\$ \$0.00		
Independent clai	ms	3	- 3=	0	x_	\$2	200.00	\$ \$0.00	)	
Multiple Dependent Claims (if app			pplicable)		+	<u>·</u>	60.00	\$		
TOTAL OF ABOVE CALCULATIONS =								\$ \$900.00	)	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.								\$ \$0.00	)	
SUBTOTAL =								\$ \$900.00		
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).								\$		
TOTAL NATIONAL FEE								\$ \$900.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per +								\$ \$40.00		
TOTAL FEES ENCLOSED =								\$ \$940.00		
								Amount to be refunded:	\$	
								Amount to be charged:	\$	
a. 🛭 A chec	a. 🗵 A check in the amount of \$940.00 to cover the above fees is enclosed.									
	o. Please charge my Deposit Account in the amount of A duplicate copy of this sheet is enclosed.							to cover the above fees.		
					onal fe	es which	may b	e required, or credit	any overpayment	
<ul> <li>c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No.  02-0390 . A duplicate copy of this sheet is enclosed.</li> <li>d.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information and authorization on PTO-2038.</li> </ul>										
should	not	be included	on this form.	Provide credit card in	iomai	ion and a	3UU 101 12	2811011 0111 10-2000	<b>,</b> ,	
NOTE: Where must be filed a	an a nd g	ppropriate tir ranted to res	ne limit unde tore the Inte	er 37 CFR 1.495 has n rnational Application	to per	en met, a nding sta	a petiti atus.	on to revive (37 CF	FR 1.137(a) or (b))	
SEND ALL CO	ORR	ESPONDEN	ICE TO:			~	<	$\mathcal{M}$		
Eric J. Groen								XTZ		
Baker & Daniels LLP 300 North Meridian Street, Suite 2700 SIGNATUR								E		
Indianapolis, IN 46204								/		
								Eric J. Groen	<u> </u>	
						NAM	E			
								32,230		
REGISTRA								ATION NUMBER		

CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10) Docket No. Applicant(s): Joris Franckx et al. **TYR-P0010** Customer No. Group Art Unit Application No. Filing Date Examiner July 20, 2006 27268 **OPTICAL DEVICE** Invention: I hereby certify that the following correspondence: New '371 Application: Transmittal Letter to the United States Designated/Elected Office; Declaration, Information Disclosure Statement, Assignment and Recordation Cover Sheet; Preliminary Amendment; Application Data Sheet; Power of Attorney; Check No. 379589 in the amount of \$940.00, return postcard (Identify type of correspondence) is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on July 20, 2006 (Date) Kay VanDuesen (Typed or Printed Name of Person Mailing Correspondence) (Signature of Perse EV736441202US ("Express Mail" Mailing Label Number) Note: Each paper must have its own certificate of mailing.